

Investor must read the instructions section before completing this form. First time investors need to submit this form along with the main application form



Name & Broker Code / ARN / RIA Code	Sub Broker / Agent ARN Code	Sub Agent Code	EUIN*	ISC Date Time Stamp Reference No.

Declaration for "Execution Only" Transaction (where EUIN box is left blank). Please refer Instruction (E) for complete details on EUIN. I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker.

Signature of 1st Applicant / Guardian / Authorised Signatory /PoA/Karta	Signature of 2nd Applicant / Guardian / Authorised Signatory /PoA	Signature of 3rd Applicant / Guardian / Authorised Signatory /PoA
--	--	--

1. EXISTING UNIT HOLDER INFORMATION (The details in our records under the folio number mentioned will apply for this application.)

[illegible]

Name of 1st Unit Holder:

AADHAAR No.:

--	--	--	--	--	--	--	--	--	--

 Aadhaar Copy (Please ☒) ☐ Enclosed

2. SYSTEMATIC INVESTMENT PLAN (SIP) ENROLMENT DETAILS - FACILITY THROUGH POST DATED CHEQUES (PDCs) (For instructions please refer the next page)

Frequency: Please (✓) ☒ Monthly (Default) - Min (₹) 1000 ☐ Quarterly - Min (₹) 1000

Scheme ☐ Regular Plan ☐ Direct Plan ☐ Growth (Default) ☐ Payout ☒ Dividend* ☐ Div frequency*

*Dividend frequency is applicable only for Mirae Asset Cash Management Fund & Mirae Asset Savings Fund.

Please (✓) SIP date: ☐ 1st ☒ 10th (Default) ☐ 15th ☐ 21st ☐ 28th **SIP Amount (₹)** _____ (Please specify)

SIP PERIOD: SIP Start Date: M M Y Y Y Y SIP End Date: M M Y Y Y Y

2a. DETAILS OF PDCS: ALL PDCS MUST BE DATED AS PER RESPECTIVE SIP DATE CHOSEN

Cheque Number(s): From To = Total Cheques

Bank Name:

Branch Name: A/c. Type: Please (✓) ☐ NRE ☐ Current ☐ Savings ☐ NRO

Payment Type: ☐ Non-Third Party Payment ☐ Third Party Payment (Please attach 'Third Party Payment Declaration Form')

3. DECLARATION AND SIGNATURES / THUMB IMPRESSION OF APPLICANT(S) [Refer Instructions 2(e) of KIM]

To The Trustees, Mirae Asset Mutual Fund (The Fund) - (A) Having read and understood the contents of the SID of the Scheme(s), I/We hereby apply for units of the scheme(s) and agree to abide by the terms, conditions, rules and regulations governing the scheme. (B) I/We hereby declare that the amount invested in the scheme(s) is through legitimate sources only and does not involve and is not designed for the purpose of the contravention of any provisions of the Income Tax Act, Anti Money Laundering Laws or any other applicable laws enacted by the Government of India from time to time. (C) Signature of the nominee acknowledging receipts of my/our credit will constitute full discharge of liabilities of Mirae Asset Mutual Fund. (D) The information given in / with this application form is true and correct and further agrees to furnish additional information sought by Mirae Asset Global Investments (India) Limited (AMC)/ Fund and undertake to update the information/details with the AMC / Fund/Registrars and Transfer Agent (RTA) from time to time. I/We hereby confirm that the AMC/Fund shall have the right to share my information and other details with the regulatory and government authorities as and when needed. I/We will indemnify the Fund, AMC, Trustee, RTA and other intermediaries in case of any dispute regarding the eligibility, validity and authorization of my/our transactions. (E) I/We further declare that **"The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us."** (F) I/We hereby confirm that I/We have not been offered / communicated any indicative portfolio and / or any indicative yield by the Fund/AMC/its distributor for this investment. I/We have not received nor have been induced by any rebate or gifts, directly or indirectly in making this investment. (G) **Applicable to Investors availing the online facility** - I/We have read, understood and shall be bound by the terms & conditions of the PIN agreement available on the AMC website for transacting online. **(H) Applicable for NRIs only** - I/We confirm that I am/We are Non-Resident of Indian Nationality/Origin and I/We hereby confirm that the funds for subscription and for all additional purchases have been remitted from abroad through normal banking channels or from funds in my/our Non-Resident External/ Ordinary Account. **(I) Applicable to Foreign Resident's Residing in India** - I/ We confirm that I/We satisfy the Residency test as prescribed under FEMA provisions. I/We further declare that I/We am/are "Person Resident in India" and are allowed to invest into the Scheme as per the said FEMA regulations and other applicable laws and regulations. **(J) I / We confirm that I am / We are not United States person(s) under the laws of United States or resident(s) of Canada. In case of change to this status, I / We shall notify the AMC, in which event the AMC reserves the right to redeem my / our investments in the Scheme(s).** **(K) Aadhaar** - I/We hereby provide my/our consent in accordance with Aadhaar Act, 2016 and regulations made thereunder, for (i) collecting, storing and usage (ii) validating/authenticating and (iii) updating my/our Aadhaar number(s) in accordance with the Aadhaar Act, 2016 (and regulations made thereunder) and PMLA. I/We hereby provide my/our consent for sharing/disclosing of my Aadhaar number(s) including demographic information with the asset management companies of SEBI registered mutual fund and their Registrar and Transfer Agent (RTA) for the purpose of updating the same in my/our folios.

Signature of 1st Applicant / Guardian / Authorised Signatory /PoA/Karta	Signature of 2nd Applicant / Guardian / Authorised Signatory /PoA	Signature of 3rd Applicant / Guardian / Authorised Signatory /PoA
--	--	--

KNOWLEDGE SLIP

Received Application from _____ Folio No.: _____ as per details below:

Scheme Name and Plan	Details	Date & Stamp of Collection Centre / ISC
	<input type="radio"/> SIP Amount (₹) _____	

Cheque / DD is subject to realisation

11-2017

A. INSTRUCTIONS - COMMON TO SIP

1. New investors who wish to enrol for SIP should fill the form in addition to the Common Application Form. Please complete all details in the Common Application Form. Details of SIP should be provided on this form. Existing investors need to fill up only the form.
2. For multiple transactions under more than 1 scheme, separate form should be used.
3. Request for SIP should be submitted at least 30 working days before the commencement date.
4. The investor has the right to discontinue SIP at any time he/she so desires by sending a written request at least 15 working days in advance of the immediate next due date to any of the offices of Mirae Asset Mutual Fund or its Authorised Collection Centres. On receipt of such request the SIP will be terminated and in case of SIP balance post-dated cheques will be returned to the investor.
5. Units will be Allotted / Redeemed / Transferred at the NAV related prices of the 1st, 10th, 15th, 21st, 28th of every month (or next business day, if 1st and/or 10th and/or 15th and/or 21st and/or 28th is a non business day). In case of Post Dated Cheques submitted for any dates, other than the dates offered, immediate next offered date would be considered as the SIP date(s). An updated account statement will be sent after each transaction under the Special Products, wherever, email address has been provided, the account statements will be sent through email only. Other investors will be sent on a quarterly basis.
6. **Aadhaar**
 - Aadhaar has become mandatory for investment in MF Industry effective 1st June'2017. Aadhaar / proof of Aadhaar enrolment will be collected and authenticated for all individuals irrespective of mode of holding.
 - Where the investor is an individual who is eligible to be enrolled for Aadhaar, he / she shall submit the Aadhaar number issued by the UIDAI & PAN to the Reporting entity.
 - Where Aadhaar number has not been assigned to the investor, the investor shall furnish proof of application for enrolment of Aadhaar and in case the PAN is not submitted, one certified copy of an officially valid document shall be submitted.
 - If such individual investor is not eligible to be enrolled for Aadhaar number, he / she shall for the purpose of the revised rule, submit to the Reporting entity, PAN or Form no. 60
 - If the Investor does not submit the PAN, he/she shall submit one certified copy of officially valid document containing details of identity, address and a Photograph.
 - Where the investor is a non-individual, apart from the Constitution documents, Aadhaar numbers and PANs or Form 60 of Managers, Officers or Employees holding an attorney to transact on its behalf shall be submitted. If a person holding an authority to transact on behalf of such entity is not eligible to be enrolled for Aadhaar and does not submit the PAN, certified copy of an officially valid document shall be submitted.
 - If the investor fails to submit the Aadhaar number and PAN by 31st Dec 2017, the said account shall cease to be operational till the time Aadhaar number and PAN is submitted by the Investor. Folios / Accounts where Aadhaar is not seeded by December 31, 2017, they shall be made inoperative. Once an account is made inoperative, transactions will be restricted.
 - Effective Jan 1, 2018 Customer initiated transactions will not be allowed. However, Non-Customer initiated transactions however, will be permitted.
 - Customer initiated transactions include new or additional Purchase, Redemptions & Switches, New Registration (SIP, SWP, STP, DTP), Unclaimed money (dividends / redemptions), New Lien Marking, Transmission (unless Aadhaar is made available for the person in whose favor units are proposed to be transmitted).
 - Non-Customer initiated transactions include Corporate Action (Dividend Reinvestment/Payouts), Pre-existing standing instructions (SIP, SWP, STP), Close Ended Fund Maturity proceeds payout, KRA led changes, Non-Financial / Non Commercial Transactions, Lien Invocation by Fin Institution or pledgee, Any transaction pursuant to a Court or Regulatory order.
 - Investors may please note that if the Name given in the application does not match the name as appearing on the PAN Card/Aadhaar card, authentication, application may be liable to get rejected or further transactions may be liable to get rejected'.
 - The purpose of collection/usage of Aadhaar number including demographic information is to comply with applicable laws/rules/regulations and provision of the said data is mandatory as per applicable laws/rules/regulations. Post obtaining the Aadhaar number, we shall authenticate the same in accordance with the Aadhaar Act, 2016. We shall receive your demographic information which shall be used only to comply with applicable laws/rules/regulations.

B. INSTRUCTIONS - SYSTEMATIC INVESTMENT PLAN (SIP)

1. **Minimum Amount:**
For Monthly/Quarterly SIP: Minimum 5 Cheques of ₹ 1,000/- each and above.
2. All post-dated cheques have to be issued favouring the individual scheme.
3. Value of each monthly installment should be the same in all the post-dated cheques issued.
4. **Investment Dates:** Cheques should be of the following dates: 1st, 10th, 15th, 21st, 28th of every Month/Quarter.
5. **Default Dates:** In case of any ambiguity in selection of investment frequency, the SIP date will be 10th of each month / quarter.
6. Cheques should be drawn payable at locations of Mirae Asset Global Investments (India) Pvt. Ltd. branches & authorised centres. Non MICR / outstation post dated cheques will not be accepted for SIP. Third Party Cheque/Funds Transfer will not be allowed for Investment: In order to enhance compliance with Know Your Customer (KYC) norms, Mirae Asset Mutual Fund shall not accept applications for subscriptions with third party payment instruments with effect from November 15, 2010. This will not be applicable in the following exceptional cases:
 - Payment by Parents/Grand-Parents/related persons on behalf of a minor in consideration of natural love and affection or as gift for a value not exceeding ₹ 50,000/- (each regular purchase or per SIP instalment);
 - Payment by employer on behalf of employee under Systematic Investment Plan (SIP) facility through payroll deductions;
 - Custodian on behalf of an FII or a Client.

Please note that these provisions will apply to all SIPs registered on or after November 15, 2010. Instalments of SIPs registered before November 15, 2010 will not be affected. For complete details kindly refer to the Addendum dated November 12, 2010.

C. EUIN

Employee Unique Identification Number (EUIN): SEBI has made it compulsory for every employee / relationship manager / sales person of the distributor of mutual fund products to quote the EUIN obtained by him / her from AMFI in the Application Form. EUIN, particularly in advisory transactions, would assist in addressing any instance of mis-selling even if the employee / relationship manager/sales person later leaves the employment of the distributor. Individual ARN holders including senior citizens distributing mutual fund products are also required to obtain and quote EUIN in the Application Form. Hence, if your investments are routed through a distributor please ensure that the EUIN is correctly filled up in the Application Form. However, if your distributor has not given you any advice pertaining to the investment, the EUIN box may be left blank. In this case, you are required to tick mark the box provided above the signature box. However, in case of any exceptional cases where there is no interaction by the employee / sales person/relationship manager of the distributor/sub broker with respect to the transaction, AMCs shall take the requisite declaration separately signed by the investor.