

SUPPLEMENTARY KNOW YOUR CLIENT (KYC), Aadhaar Updation, FATCA & CRS - SELF CERTIFICATION FORM FOR INDIVIDUALS

Mandatory for all Applicant(s) including Joint-holder(s), Guardian (if applicable) and Power of Attorney (if any). [Please consult your professional tax advisor on your tax residency and related FATCA & CRS guidance]

A. FATCA & CRS INFORMATI	ON (SELF CER	TIFICA	TION)														
PAN								Folio No.									
Name																	
Type of Address given at KRA	Resid	ential		Busine	SS		Residential / Busines	SS		Regist	ered O	ffice					
Nationality					Gender				[Date of	birth	D	D N	l M	Υ	Y	/ Y
Mobile					Place o	f Birth			Cou	ntry of	Birth			'			
Father's name							(mandatory if	PAN not prov	/ided)								
Spouse's name																	
Documents required (if PAN not p	rovided)	Passp	ort	Elec	tion ID C	ard	Govt. ID Card	Drivin	g License		UIDA	\I Card		NREG	A Card		Others
Identification number of the docur	ment provided										•						
Is the applicant/ guardian's Countr	ry of Birth / Citize	nship /	Nationali	tv / Tax I	Residency	other t	han India? Yes	No									
If yes, please indicate all countries				-													
	Country of Tax Residency#				Tax Payer Identification Number ^						Identific	cation Ty	/pe [TIN	or othe	r, please	specify	·]
1																	
2																	
3																	
#To also include USA, where the individual is a citizen/ green card holder of USA. ^ In case Tax Identification Number is not available, kindly provide its functional equivalent.																	
iii case taxtuetitiiicatioii ivuitibe	i is not available, i	viriury pr	Ovideits	TUTICUOTI	iai equivaie	JIIL.											
B. ADDITIONAL KYC INFORM	IATION	ı															
Occupation Details [Please tick ([✓)]		Service	Pri	ivate Sect	or _	Public Sector G	overnment S	Service	Stu	dent	Pro	ofession	al _	House	wife	
			Business	F	Retired	A	griculture Propri	etorship	Other	S				(please	specify)	
Gross Annual Income (Rs.) [Plea	ase tick (√)]	□В	elow 1 L	.ac	_ 1 -	5 Lacs	5 - 10 Lacs	10	- 25 Lacs		_ >	25 Lacs	- 1 Cro	re	_ >	1 Crore	
								0R									
Net-worth (Mandatory for No	n-Individuals)	Rs						as o	ı 📗		MM		YYYY		Not olde	r than 1	year)
Politically Exposed Person (PEP)	Status*		am PEP)	[l ar	n Related to PEP		Not Appli		141141						
*PEP are defined as individuals whe military officers, senior executives								g., Heads of	States or of	Gover	nments	, senior	politicia	ns, senio	or Gover	nment/ju	udicial/
military officers, senior executives	oi state owned co	грогано	ris, irripo	rtant poi	illicai par ty	/ OIIICIa	s, etc.										
C. AADHAAR DETAILS (Ensu				haar Ca	ard) Not	manda											
(Plea	Aadhaar ise enclose copy			side)			Date of Birth	F	PIN Code			ı	Mobile N	lo.			olment oof#
						D	O M M Y Y Y	Υ									
# If Aadhaar number is applied	l for, please end	lose p	roof of e	enrolme	ent.		1 1 1 1 1		1 1				1				
D. Declaration:																	
I have read and understood the informme on this Form is true, correct and I further agree to abide by the provision	complete. I hereb	y agree	and conf	firm to ir	nform HDF	C Asse	t Management Company	Limited/HDF	C Mutual F	und/ Tr	ustées	for any	- modifica	tion to t	his infor	mation p	oromptly
	of Information (AEOI)'. I hereby authorize you to disclose, share, remit in any form/manner/mode the above information and/or any part of it including the changes/updates that may be provided by me to the Mutual Fund, its Sponsc Trustees, Asset Management Company, its employees, agents and third party service providers, SEBI registered intermediaries for single updation/ submission, any Indian or foreign statutory, regulatory, judic quasi- judicial authorities/agencies including but not limited to Financial Intelligence Unit-India (FIU-IND) etc without any intimation/advice to me.										onsor/s						
of Information (AEOI)'. I hereby authorize you to disclose, sh Trustees, Asset Management Compa	any, its employees	, agents	and thire	d party s	ervice pro	viders,	SEBI registered intermedi	aries for sing	le updation								
of Information (AEOI)'. I hereby authorize you to disclose, sh Trustees, Asset Management Compa	any, its employees noluding but not lir	, agents nited to	and thire	d party s	ervice pro	viders,	SEBI registered intermedi	aries for sing	le updation								
of Information (AEOI)'. I hereby authorize you to disclose, sh Trustees, Asset Management Compa quasi-judicial authorities/agencies in	any, its employees ncluding but not lir ring of Aadhaar d accordance with adhaar Act, 2016	i, agents nited to ata: Aadhaa (and reg	and third Financial r Act, 20 Julations	d party so Intellige 16 and made th	ervice pro ence Unit-li regulation ereunder)	viders, S ndia (FII s made and PIV	SEBI registered intermedi J-IND) etc without any int thereunder, for (i) collec ILA. I/We hereby provide	aries for sing imation/advi ting, storing my/our cons	le updation ce to me. and usage sent for sha	/ subm (ii) val ring/ di	ission, lidating, sclose	any India /authent	an or fore	eign stat and (ii) u umber(s	utory, re updating s) includ	my/our	, judicial Aadhaa
of Information (AEOI)'. I hereby authorize you to disclose, sh Trustees, Asset Management Compaquasi-judicial authorities/agencies in Consent for authentication and shall I/We hereby provide my consent in number(s) in accordance with the Aa	any, its employees ncluding but not lir ring of Aadhaar d accordance with adhaar Act, 2016	i, agents nited to ata: Aadhaa (and reg	and third Financial r Act, 20 Julations	d party so Intellige 16 and made th	ervice pro ence Unit-li regulation ereunder)	viders, S ndia (FII s made and PIV	SEBI registered intermedi J-IND) etc without any int thereunder, for (i) collec ILA. I/We hereby provide	aries for sing imation/advi ting, storing my/our cons	le updation ce to me. and usage sent for sha	/ subm (ii) val ring/ di	ission, lidating, sclose	any India /authent	an or fore	eign stat and (ii) u umber(s	utory, re updating s) includ	my/our	, judicial Aadhaa

Terms and Conditions

The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income tax Rules, 1962, which require Indian financial institutions to seek additional personal, tax and beneficial owner information and certain certain certifications and documentation from all our unit holders. In relevant cases, information will have to be reported to tax authorities / appointed agencies. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the folio(s) or any proceeds in relation thereto.

Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days.

Please note that you may receive more than one request for information if you have multiple relationships with us or our group entities. Therefore, it is important that you respond to our request, even if you believe you have already supplied any previously requested information.

\$It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form.

In case investor has the following Indicia pertaining to a foreign country and yet declares self to be non-tax resident in the respective country, investor to provide relevant Curing Documents as mentioned below:

FATCA/ CRS Indicia observed (ticked)	Documentation required for Cure of FATCA/ CRS indicia
U.S. place of birth	Self-certification that the account holder is neither a citizen of United States of America nor a resident for tax purposes;
	2. Non-US passport or any non-US government issued document evidencing nationality or citizenship (refer list below); AND
	3. Any one of the following documents:
	a. Certified Copy of "Certificate of Loss of Nationality or
	b. Reasonable explanation of why the customer does not have such a certificate despite renouncing US citizenship; or Reason the customer did not obtain U.S. citizenship at birth
Residence/mailing address in a country other than India	Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; and
	2. Documentary evidence (refer list below)
Telephone number in a country other than India	If no Indian telephone number is provided
	Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; and
	2. Documentary evidence (refer list below)
	If Indian telephone number is provided along with a foreign country telephone number
	Self-certification (in attached format) that the account holder is neither a citizen of United States of America nor a tax resident for tax purposes of any country other than India; OR
	Self-certification (in attached format) that the account holder is neither a citizen of United States of America nor a tax resident
Standing instructions to transfer funds to an account maintained in a country other than India (other than depository accounts)	Self-certification (in attached format) that the account holder is neither a citizen of United States of America nor a tax resident for tax purposes of any country other than India; OR

List of acceptable **documentary evidence** needed to establish the residence(s) for tax purposes:

- Certificate of residence issued by an authorized government body*
- 2. Valid identification issued by an authorized government body* (e.g. Passport, National Identity card, etc.)
- ${\bf *Government or agency the reofor a municipality of the country or territory in which the payee claims to be a resident.}\\$

Updation of Aadhaar:

In accordance with the amendment to Prevention of Money Laundering Act (PMLA) Rules, 2017 dated June 1, 2017, Mutual Funds are mandated to obtain Aadhaar Number ("Aadhaar") from their investors and link the same to his/her/their respective folios. As per the new rules linking of Aadhaar with Mutual Fund investments is mandatory, for all the Unit holders. Failing which, the folios may be made inoperative.

Accordingly, the investors are requested to note the following:

- Every individual applicant shall submit the Aadhaar number.
- Non-individual applicants, Aadhaar number(s) issued to managers, officers or employees holding an attorney to transact shall be submitted.
- . The applicants intending to hold units allotted in dematerialized mode, shall update the Aadhaar details in their Demat Accounts held with depository participants.

The purpose of collection/usage of Aadhaar including demographic information is to comply with applicable laws / rules / regulations and provision of the said data is mandatory as per applicable laws / rules / regulations. Post obtaining Aadhaar, HDFC AMC/Fund/RTA shall authenticate the same in accordance with the Aadhaar Act, 2016. HDFC AMC/Fund/RTA shall receive investor's demographic information which shall be used only to comply with applicable laws / rules / regulations.

Submission of Aadhaar details does not warranty linking of Aadhaar in the investor Folios. The request for Aadhaar updation will be subject to:

- Aadhaar details provided is correct;
- Investor name & Date of Birth to be mentioned should be identical to that appearing in Aadhaar.
- Investor details matching with details available with UIDAI;
- Authentication with UIDAI database & other required validations is successful.
- Aadhaar will be updated in ALL his / her/their foilo(s) held with the Fund.